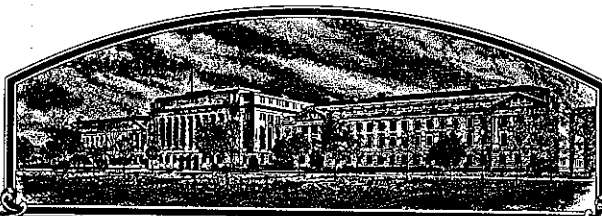


No.

8400149



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

*Asgrow Seed Company*

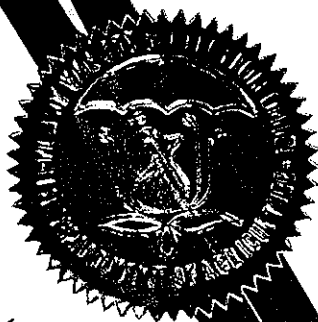
Whereas, THERE HAS BEEN PRESENTED TO THE  
Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF *eighteen* YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT (U.S.C. 542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'A3420'



In Testimony Whereof, I have hereunto set  
my hand and caused the seal of the Plant  
Variety Protection Office to be affixed  
at the City of Washington  
this 26th day of July in  
the year of our Lord one thousand nine  
hundred and eighty-five.

Attest:

*Kenneth H. Cox*  
Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

*J. R. Blum*  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
WAREHOUSE & SEED DIVISION

FORM APPROVED: OMB NO. 0581-0055

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

## APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions on reverse)

1. NAME OF APPLICANT(S) Asgrow Seed Company		2. TEMPORARY DESIGNATION		3. VARIETY NAME A3420	
4. ADDRESS (Street and No. or R.F.D. No., City, State, and Zip Code) 9620-190-25 (Gull Road) Kalamazoo, MI 49001		5. PHONE (Include area code) (616) 385-6605		FOR OFFICIAL USE ONLY PVPO NUMBER <b>8400149</b>	
6. GENUS AND SPECIES NAME Glycine Max		7. FAMILY NAME (Botanical) Leguminosa		FILING DATE 8/29/84 TIME 8:30 <input checked="" type="checkbox"/> A.M. <input type="checkbox"/> P.M.	
8. KIND NAME Soybean		9. DATE OF DETERMINATION October 1979		AMOUNT FOR FILING \$ 1,800 DATE 8/29/84	
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) Corporation				AMOUNT FOR CERTIFICATE \$ 200.00 DATE 7/9/85	
11. IF INCORPORATED, GIVE STATE OF INCORPORATION Delaware				12. DATE OF INCORPORATION March 22, 1968	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Mr. John A. Batcha Asgrow Seed Company 9620-190-25 Kalamazoo, MI 49001 (616) 385-6605 PHONE (Include area code):					
14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED					
a. <input checked="" type="checkbox"/> Exhibit A, Origin and Breeding History of the Variety (See Section 52 of the Plant Variety Protection Act.) b. <input checked="" type="checkbox"/> Exhibit B, Novelty Statement c. <input checked="" type="checkbox"/> Exhibit C, Objective Description of the Variety (Request form from Plant Variety Protection Office.) d. <input checked="" type="checkbox"/> Exhibit D, Additional Description of the Variety					
15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act.) <input type="checkbox"/> Yes (If "Yes," answer items 16 and 17 below) <input checked="" type="checkbox"/> No					
16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> Yes <input type="checkbox"/> No		17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? <input type="checkbox"/> Foundation <input type="checkbox"/> Registered <input type="checkbox"/> Certified			
18. DID THE APPLICANT(S) FILE FOR PROTECTION OF THE VARIETY IN THE U.S.? <input type="checkbox"/> Yes (If "Yes," give date) <input checked="" type="checkbox"/> No					
19. HAS THE VARIETY BEEN OFFERED FOR SALE OR MARKETED IN THE U.S. OR OTHER COUNTRIES? <input type="checkbox"/> Yes (If "Yes," give names of countries and dates) <input checked="" type="checkbox"/> No					
20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.					
SIGNATURE OF APPLICANT <i>John A. Batcha, Manager, Administrative Services</i>				DATE August 24, 1984	
SIGNATURE OF APPLICANT				DATE	

Origin and Breeding History of A3420

- 1977 Original cross (B77027) made at Oxford, Indiana  
PARENTS: Union \* A3127
- 1977-78 7 F1 plants grown at Delray Beach, Florida under lighted conditions  
(fall)
- 1978 F2 bulk population grown at Delray Beach, Florida; single pods picked from  
(spring) each plant
- 1978 F3 bulk population grown at Oxford, Indiana; single pods picked from each  
(summer) plant
- 1978-79 F4 bulk population grown at Delray Beach, Florida; single plants threshed from  
(fall) bulk population B77027
- 1979 F5 plant rows grown at Oxford, Indiana. Row B79-7931 was selected and bulk  
(summer) harvested
- 1980 B79-7931 (later A3420) was grown in a Preliminary test, P314 (code 6) at  
Oxford, Indiana and at Ames, Iowa.
- 1981 B79-7931 was grown in an advanced Strain test S301 (as code 20) at seven  
locations. On the basis of these tests, B79-7971 was designated X3420.
- 1982 X3420 was grown in an advanced Variety tests, V301 (code 6) at 9 locations.  
On the basis of these tests X3420 was advanced to XP3420. A small area was  
rogued extensively to produce 202 pounds of pure seed. Sixty purification  
rows and 60+ single plants were harvested to commence a replicated progeny  
row maintenance system.
- 1983 XP3420 was grown at 12 locations in advanced test V301 and 11 locations in  
test V304. A maintenance test (replicated test) was grown at Stonington,  
Illinois to produce breeder seed.

A3420 is uniform and stable within commercially acceptable limits based on trial observations since its development in 1979. As with other soybeans, variants or offtypes can occur for almost any characteristic during the course of repeated sexual multiplication.

EXHIBIT B

Novelty Statement Concerning A3420 Soybean

To our knowledge the soybean varieties that A3420 most resemble are A3127 and Williams or Williams 79.

Characteristics which differentiate these varieties include but are not necessarily restricted to the following:

Flower Color: A3420 and Williams (79) have white flowers, A3127 has purple flowers.

Phytophthora: A3127 or Williams have no major genes for resistance to Phytophthora  
A3420 has Rps<sub>1</sub> which confers resistance to Race 1 of Phytophthora  
Root Rot but not Race 3.  
Williams 79 has the gene Rps<sub>1</sub><sup>c</sup> which confers resistance to Races 1  
and 3 of Phytophthora.

Maturity: A3420 is 2 days later than A3127 and 3 days earlier than Williams  
79. Supporting data is given in Exhibit D.

mga

Asgrow Seed Company  
PVP Application - Soybean A3420  
August 24, 1948

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
LIVESTOCK, MEAT, GRAIN & SEED DIVISION  
PLANT VARIETY PROTECTION OFFICE  
BELTSVILLE, MARYLAND 20705




EXHIBIT C  
(Soybean)

OBJECTIVE DESCRIPTION OF VARIETY  
SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) Asgrow Seed Company	TEMPORARY DESIGNATION XP3420	VARIETY NAME A3420
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 9620-190-25 Gull Road Kalamazoo, MI 49001		FOR OFFICIAL USE ONLY PVPO NUMBER <b>8400149</b>

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g., ). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:

<input checked="" type="checkbox"/> 2 R/S 1/15/85			
1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)	2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)		3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)	4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)		

★ 2. SEED COAT COLOR: (Mature Seed)

<input type="text" value="1"/>	1 = Yellow	2 = Green	3 = Brown	4 = Black	5 = Other (Specify) _____
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3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

<input checked="" type="checkbox"/> 2 R/S	1 = Dull ('Corsoy 79'; 'Braxton')	2 = Shiny ('Nebsoy'; 'Gasoy 17')
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★ 4. SEED SIZE: (Mature Seed)

<input type="text" value="1"/> <input type="text" value="6"/>	Grams per 100 seeds
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★ 5. HILUM COLOR: (Mature Seed)

<input type="text" value="6"/>	1 = Buff	2 = Yellow	3 = Brown	4 = Gray	5 = Imperfect Black	6 = Black	7 = Other (Specify) _____
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★ 6. COTYLEDON COLOR: (Mature Seed)

<input type="text" value="1"/>	1 = Yellow	2 = Green
--------------------------------	------------	-----------

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

<input type="text" value="2"/>	1 = Low	2 = High
--------------------------------	---------	----------

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

<input type="text" value="2"/>	1 = Type A (SP <sup>1a</sup> )	2 = Type B (SP <sup>1b</sup> )
--------------------------------	--------------------------------	--------------------------------

★ 9. HYPOCOTYL COLOR:

<input type="text" value="2"/>	1 = Green only ('Evans'; 'Davis')	2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')
	3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')	
	4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')	

★ 10. LEAFLET SHAPE:

<input type="text" value="3"/>	1 = Lanceolate	2 = Oval	3 = Ovate	4 = Other (Specify) _____
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## 11. LEAFLET SIZE:

☐ 11 = Small ('Amsoy 71'; 'A5312')  
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

## 12. LEAF COLOR:

☐ 21 = Light Green ('Weber'; 'York')  
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

## ★ 13. FLOWER COLOR:

☐ 1

1 = White

2 = Purple

3 = White with purple throat

## ★ 14. POD COLOR:

☐ 1

1 = Tan

2 = Brown

3 = Black

## ★ 15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

## 16. PLANT TYPES:

☐ 21 = Slender ('Essex'; 'Amsoy 71')  
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

## ★ 17. PLANT HABIT:

☐ 2

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

## ★ 18. MATURITY GROUP:

☐ 0 ☐ 6

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

## ★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

## BACTERIAL DISEASES:

★

☐ 0Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★

☐ 2Bacterial Blight (*Pseudomonas glycinea*)

★

☐ 0Wildfire (*Pseudomonas tabaci*)

## FUNGAL DISEASES:

★

☐ 2Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)

★

☐ 0

Race 1

☐

Race 2

☐

Race 3

☐

Race 4

☐

Race 5

☐

Other (Specify)

☐ 0Target Spot (*Corynespora cassicola*)☐ 1Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 1Powdery Mildew (*Microsphaera diffusa*)

★

☐ 0Brown Stem Rot (*Cephalosporium gregatum*)☐ 0Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

## 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

## FUNGAL DISEASES: (Continued)

- ★ ☐ 0 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)
- ☐ 2 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 2 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 0 Race 4 ☐ 0 Race 5 ☐ 0 Race 6 ☐ 0 Race 7
- ☐ 0 Race 8 ☐ 0 Race 9 ☐ Other (Specify) \_\_\_\_\_

## VIRAL DISEASES:

- ☐ 0 Bud Blight (Tobacco Ringspot Virus)
- ☐ 0 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 0 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 0 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 2 Seed Mottle (Soybean Mosaic Virus)

## NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 1 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ 0 Other (Specify) \_\_\_\_\_
- ☐ 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ OTHER DISEASE NOT ON FORM (Specify): \_\_\_\_\_

## 20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 0 Iron Chlorosis on Calcareous Soil
- ☐ Other (Specify) \_\_\_\_\_

## 21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ 0 Other (Specify) \_\_\_\_\_

## 22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	Williams	Seed Coat Luster	A3127
Leaf Shape	A3127	Seed Size	A3127
Leaf Color	A3127	Seed Shape	A3127
Leaf Size	Amsoy	Seedling Pigmentation	Williams

## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
Submitted A3420	132	2.5	94	8	12	39.4	21.0	16.2	
Name of Similar Variety Williams 79	135	2.8	102	10	15	40.3	21.4	19.6	

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

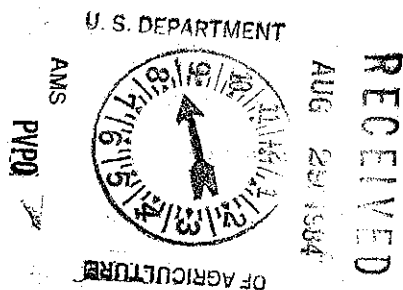




EXHIBIT D

Additional Description of the Variety

Maturity - Days from September 1

	<u>x</u>	Ames Iowa	Grinnell Iowa	Peoria Illinois	Oxford Indiana	Shelby Nebraska	Stonington Illinois
A3420	25	30.2	26.7	22.7	23.2	28.0	19.0
A3127	23	30.5	25.5	19.0	20.7	27.4	17.5
Williams 79 28		34.0	20.0	25.2	26.2	32.0	22.5
<hr/>							
# reps		4	4	4	4	4	4
CV		5.0	4.8	5.4	6.1	2.9	4.9
LSD		2.3	1.9	1.7	2.0	1.2	1.4